| Electronic Acknowledgement Receipt       |  |  |  |  |
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| EFS ID:                                  | 2729249  |  |  |  |
| Application Number:                      | 09988780   |  |  |  |
| International Application Number:        |  |  |  |  |
| Confirmation Number:                     | 9116   |  |  |  |
| Title of Invention:                      | Method, system, and computer program product for risk assessment and risk management |  |  |  |
| First Named Inventor/Applicant Name:     | Marc Bladen  |  |  |  |
| Customer Number:                         | 26111  |  |  |  |
| Filer:                                   | Theodore A. Wood   |  |  |  |
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| Application Type:                        | Utility under 35 USC 111(a)  |  |  |  |
| Payment information:                     | 1  |  |  |  |
| Submitted with Payment                   | yes  |  |  |  |
| Payment Type                             | Credit Card  |  |  |  |
| Payment was successfully received in RAM | \$1860   |  |  |  |
| RAM confirmation Number                  | 6227   |  |  |  |
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**Document Description** 

| 1                        | 19840010000EOTRCE.PDF                            | 169555                                       | 1/00   | 3     |    |
|--------------------------|--|--|--|-------|----|
| 1                        | 19840010000EOTRCE.PDF                            | 2f324ab1eecb6fe0ebcbe8de52306928<br>661a5390 | yes  |       |    |
|                          | Multipa  | rt Description/PDF files in                  | zip description                              |       |    |
|                          | <b>Document Description</b>                      |  | Start  | End   |    |
|                          | Miscellaneous Incoming Letter                    |  | 1  | 1     |    |
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|                          | Request for Continued Examination (RCE)          |  | 3  | 3     |    |
| Warnings:                |  |  |  |       |    |
| Information:             |  |  |  |       |    |
| 2                        | 19840010000AMENDMENT.                            | 521530                                       | yes 18                                       | 18    |    |
| -                        |  | PDF  | c3ed97b813a55823652edadc30952fc4<br>da891e30 | ,03   | 10 |
|                          | Multipa  | rt Description/PDF files in                  | .zip description                             |       |    |
|                          | Document Description                             |  | Start  | End   |    |
|                          | Amendment After Final                            |  | 1  | 1     |    |
|                          | Claims   |  | 2  | 14    |    |
|                          | Applicant Arguments/Remarks Made in an Amendment |  | 15   | 18    |    |
| Warnings:                |  |  |  |       |    |
| Information:             |  |  |  |       |    |
| 3 Fee Worksheet (PTO-06) | fee-info.pdf                                     | 8345   | no   | 2     |    |
| ,                        | 1 GC WOLKSHEEL (F 10-00)                         | iee-iiio.pui                                 | eb40a44fl46102881d9e4fbde198b9afa<br>8ahae81 | 110   |    |
| Warnings:                |  |  |  |       |    |
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.